



The synthesis of chiral, cationic nonlinear optical dyes based on the 1,1'-binaphthalenyl unit

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ABSTRACT

The *R* enantiomers of three, novel, 2D dipolar cations with 2,2'-bis(dimethylamino)-1,1'-binaphthalenyl electron donor groups connected to *N*-R-pyridinium acceptors were synthesised. The compounds displayed intense intramolecular charge-transfer bands in the visible region, the maximal energy of which decreased as *R* changed in the order Me > 2,4-dinitrophenyl > 2-pyrimidyl. Molecular quadratic nonlinear optical responses were determined for the chloride salts in methanol using femtosecond, hyper-Rayleigh scattering with 1300 and 800 nm lasers. The measured first hyperpolarizabilities β_{HRS} were in the range $34\text{--}155 \times 10^{-30}$ esu and increased at both wavelengths, showing the expected inverse relationship with respect to the charge-transfer energies. Single crystal X-ray structures were determined for the precursor compounds (*R*)-6,6'-dibromo-2,2'-bis(dimethylamino)-1,1'-binaphthalenyl and (*R*)-2,2'-bis(dimethylamino)-1,1'-binaphthalenyl-6,6'-dicarbaldehyde, revealing an average dihedral angle between the naphthalenyl units of 73°. Making the assumption that this angle was similar to that found in the chromophores studies in solution allowed the determination of two independent tensor components, β_{zzz} and β_{zxx} . For these molecules the greatest proportion of the total first hyperpolarizability lay along the charge-transfer axis (β_{zzz}), but the “off-diagonal” β_{zxx} values were still substantial ($31\text{--}141 \times 10^{-30}$ esu) and larger when compared with those determined by hyper-Rayleigh scattering for other 2D dipoles in the literature.

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1. Introduction

There is continued interest in the synthesis and study of molecular nonlinear optical (NLO) materials, primarily inspired by various potential applications such as optical data processing and biological imaging [1]. Although most organic NLO compounds are charge neutral, salts are especially promising due to their often high stabilities and synthetic tailorability. By varying the counterion accompanying an active charged chromophore, it is possible to modify crystal packing arrangements to produce polar structures showing bulk quadratic NLO effects such as second harmonic generation (SHG) and electro-optic (EO) behaviour. Much attention has focused on stilbazolium species, especially (*E*)-4'-(dimethylamino)-*N*-methyl-4-stilbazolium tosylate (DAST) [2] and closely related compounds [3]. Because of their large EO coefficients, such materials are useful for terahertz (THz) wave generation via nonlinear frequency mixing, of relevance to a range of applications

including security scanning, biomedical analysis and space communications [4].

One approach to producing polar materials with some degree of control is to incorporate chirality into either the active chromophore or the accompanying ions if the compound is a salt. Resolved chiral species must inevitably crystallise noncentrosymmetrically, although it is noteworthy that this does not guarantee that the molecular dipoles will align favourably. This strategy has been adopted successfully with stilbazolium compounds by Andreu et al. who produced a chromophore bearing a 2-(methoxymethyl)pyrrolidinyl substituent which packs in the space group $P2_1$ and gives a large powder SHG response of 80 times a urea standard (measured using a 1907 nm laser) [5]. The 1,1'-binaphthalenyl unit is a convenient chiral motif that has been incorporated into various neutral NLO compounds [6], including polymeric materials [6f–k] and also some charged organometallic species [7]. Very recently, Yan et al. reported a dicationic 1,1'-binaphthalenyl chromophore for use as a probe for imaging cell membranes via resonance-enhanced SHG [8], although the molecular-level NLO properties of this species were not described. Previous studies in our laboratory have shown that the static first hyperpolarizabilities β_0 (the origin of quadratic NLO effects) of stilbazolium

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and related chromophores can be increased considerably by replacing the commonly used *N*-alkylpyridinium electron acceptors with *N*-aryl units [9]. This work has produced two new materials showing powder SHG activities similar to that of DAST (ca. 500 times urea at 1907 nm) [9a,c]. In addition, large single crystals of the compound (*E*)-4'-(dimethylamino)-*N*-phenyl-4-stilbazolium hexafluorophosphate (DAPSH) show a record non-resonant bulk NLO coefficient d_{111} of 290 pm V⁻¹ at 1907 nm [10]. In this report, we disclose preliminary investigations into the synthesis of 1,1'-binaphthalenyl derivatives containing *N*-arylpyridinium groups and their study by using the hyper-Rayleigh scattering (HRS) technique [11].

2. Experimental

2.1. Materials and procedures

The compound (*R*)-6,6'-dibromo-1,1'-binaphthalenyl-2,2'-diamine (**1**) was prepared according to a published procedure [8]. Tetrahydrofuran was dried over sodium and triethylamine was dried over CaH₂, and both were freshly distilled before use. 4-Vinylpyridine was obtained commercially and fractionally distilled prior to use. All other reagents were obtained commercially and used as supplied. Products were dried overnight at room temperature in a vacuum desiccator (CaSO₄) prior to characterisation.

2.2. General physical measurements

¹H NMR spectra were recorded on an Inova 400 (compounds **2** and **3**) or a Bruker UltraShield 500 (all other compounds) spectrometer and all shifts are quoted with respect to TMS. The fine splitting of aryl ring AA'/BB' patterns is ignored and the signals are reported as simple doublets, with *J* values referring to the two most intense peaks. Elemental analyses were performed by the Micro-analytical Laboratory, University of Manchester. IR spectra were obtained as KBr discs with an ATI Mattson Genesis Series FTIR instrument, and mass spectra were measured using +electrospray on a Micromass Platform II spectrometer with acetonitrile as the solvent.

2.3. Synthesis

2.3.1. (*R*)-6,6'-Dibromo-2,2'-bis(dimethylamino)-1,1'-binaphthalenyl, **2**

To 20% aqueous H₂SO₄ (0.65 mL) and 40% aqueous formaldehyde (0.35 mL) in THF (1 mL) at 0 °C were added simultaneously a solution of **1** (150 mg, 0.339 mmol) in THF (8 mL) and NaBH₄ (188 mg, 4.97 mmol). Once the addition was complete, the solution was allowed to warm to room temperature and stirred for 70 min. It was then poured into 2% aqueous KOH (32 mL), and the organic layer was extracted with ethyl acetate and dried (MgSO₄). The extracts were combined and concentrated under reduced pressure to afford a yellow-brown solid (170 mg). This material was purified by using column chromatography on silica gel with 99:1 *n*-hexane/ethyl acetate as the eluant. The first fraction was collected and evaporated to dryness under reduced pressure to afford a yellow powder. Yield 86 mg (51%). δ_{H} (CDCl₃) 7.95 (d, 2H, *J* = 2.2 Hz, C₁₀H₅), 7.77 (d, 2H, *J* = 9.2 Hz, C₁₀H₅), 7.45 (d, 2H, *J* = 8.8 Hz, C₁₀H₅), 7.23 (dd, 2H, *J* = 9.1, 1.9 Hz, C₁₀H₅), 6.98 (d, 2H, *J* = 9.2 Hz, C₁₀H₅), 2.47 (s, 12H, Me). *m/z* = 499 ([C₂₄H₂₂Br⁷⁹Br⁸¹N₂ + H]⁺), 497 ([C₂₄H₂₂Br⁷⁹Br⁷⁹N₂ + H]⁺), 501 ([C₂₄H₂₂Br⁸¹Br⁸¹N₂ + H]⁺). Anal. Calcd (%) for C₂₄H₂₂Br₂N₂: C, 57.85; H, 4.45; N, 5.62. Found: C, 57.78; H, 4.46; N, 5.47. Diffraction-quality crystals were grown by slow evaporation of a 99:1 *n*-hexane/ethyl acetate solution.

2.3.2. (*R*)-2,2'-Bis(dimethylamino)-1,1'-binaphthalenyl-6,6'-dicarbaldehyde, **3**

To a solution of **2** (200 mg, 0.401 mmol) in dry THF (15 mL) under an argon atmosphere was added dropwise *n*-butyllithium (1.6 M in hexanes; 0.8 mL, 1.28 mmol) at −78 °C; the pale yellow solution turned deep red and then green-yellow and was stirred for 40 min at −78 °C. Dry DMF (0.3 mL, 3.87 mmol) was added dropwise at −78 °C and the reaction stirred for 10 min, then the solution was allowed to warm to room temperature and stirred for a further 3 h. The orange solution was poured into water (20 mL) and the organic layer extracted with ethyl acetate and dried (MgSO₄). The extracts were combined and concentrated under reduced pressure to afford a yellow solid (150 mg). Purification was effected by using column chromatography on silica gel with 7:3 *n*-hexane/ethyl acetate as the eluant. The first fraction was collected and evaporated to dryness under reduced pressure to afford a yellow powder. Yield 90 mg (55%). δ_{H} (CDCl₃) 10.07 (s, 2H, CHO), 8.29 (s, 2H, C₁₀H₅), 8.00 (d, 2H, *J* = 8.9 Hz, C₁₀H₅), 7.65 (d, 2H, *J* = 8.9 Hz, C₁₀H₅), 7.46 (d, 2H, *J* = 9.2 Hz, C₁₀H₅), 7.22 (d, 2H, *J* = 8.8 Hz, C₁₀H₅), 2.55 (s, 12H, Me). *m/z* = 419 ([M + Na]⁺). ν (C=O) 1668 s cm⁻¹. Anal. Calcd (%) for C₂₆H₂₄N₂O₂•0.7H₂O: C, 76.33; H, 6.26; N, 6.85. Found: C, 76.32; H, 5.95; N, 6.67. Diffraction-quality crystals were grown by vapour diffusion of *n*-hexane into an ethyl acetate solution.

2.3.3. (*R*)-6,6'-Bis-((*E*)-2-(pyridin-4-yl)vinyl)-2,2'-bis(dimethylamino)-1,1'-binaphthalenyl, **4**

Working in a glove box, **2** (120 mg, 0.241 mmol), Pd(OAc)₂ (72 mg, 0.321 mmol) and tri-*ortho*-tolylphosphine (146 mg, 0.480 mmol) were placed in a sealed pressure vessel. To this mixture was added triethylamine (5 mL) and 4-vinylpyridine (1.65 mL, 15.4 mmol) and the vessel was sealed tightly. The reaction was stirred at 110 °C for 16 h; initially the colour changed from pale yellow to bright orange, and the solution was then allowed to cool to room temperature. The Pd black formed was removed using a short column on silica gel with 1:1 dichloromethane/methanol as the eluant, and the solvents were evaporated to give a red oily solid. This material was further purified using column chromatography on silica gel with ethyl acetate as the eluant to give separation and then 1:1 ethyl acetate/methanol to bring off the product. The first major orange band was collected and evaporated to dryness under reduced pressure. Further purification was achieved by vapour diffusion of *n*-pentane into a chloroform solution, affording a yellow microcrystalline solid. Yield: 70 mg (50%). δ_{H} (CDCl₃) 8.56 (d, 4H, *J* = 6.0 Hz, C₅H₄N), 7.90–7.88 (4H, C₁₀H₅), 7.47–7.36 (6H, CH and C₁₀H₅), 7.37 (d, 4H, *J* = 6.0 Hz, C₅H₄N), 7.19 (d, 2H, *J* = 9.1 Hz, C₁₀H₅), 7.02 (d, 2H, *J* = 16.1 Hz, CH), 2.52 (s, 12H, Me). *m/z* = 548 ([M + H]⁺). Anal. Calcd (%) for C₃₈H₃₄N₄•1/3CHCl₃: C, 78.50; H, 5.90; N, 9.55. Found: C, 78.69; H, 6.13; N, 9.57. After heating for 24 h under vacuum: Anal. Calcd (%) for C₃₈H₃₄N₄•1/5CHCl₃: C, 80.41; H, 6.04; N, 9.82. Found: C, 80.74; H, 5.84; N, 9.71. After heating for a further 3 d under vacuum: Anal. Calcd (%) for C₃₈H₃₄N₄•1/8CHCl₃: C, 81.53; H, 6.12; N, 9.98. Found: C, 81.62; H, 5.63; N, 9.92.

2.3.4. (*R*)-6,6'-Bis-((*E*)-2-(1-methylpyridin-4-yl)vinyl)-2,2'-bis(dimethylamino)-1,1'-binaphthalenyl iodide, [**5**]₂

To a solution of **4**•1/8CHCl₃ (41 mg, 0.073 mmol) in acetone (12 mL) was added MeI (0.01 mL). The reaction was heated under reflux in the dark for 4 h, and a red precipitate began to form after 1 h. After cooling to room temperature, the solid was filtered off, washed with diethyl ether and dried. Yield: 52 mg (79%). δ_{H} (CD₃OD) 8.67 (d, 4H, *J* = 7.1 Hz, C₅H₄N), 8.15–8.13 (6H, C₅H₄N and C₁₀H₅), 8.07 (d, 2H, *J* = 16.1 Hz, CH), 8.01 (d, 2H, *J* = 8.8 Hz, C₁₀H₅), 7.64 (dd, 2H, *J* = 9.0, 2.0 Hz, C₁₀H₅), 7.57 (d, 2H, *J* = 9.1 Hz, C₁₀H₅), 7.41 (d, 2H, *J* = 15.9 Hz, CH), 7.17 (d, 2H, *J* = 8.8 Hz, C₁₀H₅), 4.30 (s, 6H, N⁺-Me), 2.56 (s, 12H, Me). *m/z* = 288 ([M – 2I]²⁺). Anal. Calcd

(%) for $C_{40}H_{40}I_2N_4 \cdot 4H_2O$: C, 53.23; H, 5.36; N, 6.21. Found: C, 53.08; H, 5.04; N, 6.15.

2.3.5. (R)-6,6'-Bis-((E)-2-(1-(2,4-dinitrophenyl)pyridin-4-yl)vinyl)-2,2'-bis(dimethylamino)-1,1'-binaphthalenyl Chloride, [6]Cl₂

To a solution of **4**•1/8CHCl₃ (20 mg, 0.036 mmol) in 2-butanone (6 mL) was added 2,4-dinitrochlorobenzene (296 mg, 1.46 mmol). The reaction was heated under reflux in the dark for 24 h, after which time the solution was colourless with a black precipitate. After cooling to room temperature, the solid was filtered off, washed with 2-butanone then diethyl ether and dried. Yield: 26 mg (72%). δ_H (CD₃OD) 9.26 (d, 2H, J = 2.5 Hz, PhH³), 8.96 (d, 4H, J = 7.1 Hz, C₅H₄N), 8.90 (dd, 2H, J = 8.8, 2.5 Hz, PhH⁵), 8.38–8.26 (10H, CH, C₅H₄N, PhH⁶ and C₁₀H₅), 8.06 (d, 2H, J = 9.1 Hz, C₁₀H₅), 7.73 (d, 2H, J = 9.1 Hz, C₁₀H₅), 7.60–7.55 (4H, CH and C₁₀H₅), 7.21 (d, 2H, J = 8.8 Hz, C₁₀H₅), 2.61 (s, 12H, Me). m/z = 441 ([M – 2Cl]²⁺). Anal. Calcd (%) for $C_{50}H_{40}Cl_2N_8O_8 \cdot 3H_2O$: C, 59.70; H, 4.61; N, 11.14. Found: C, 59.73; H, 4.72; N, 10.70.

2.3.6. (R)-6,6'-Bis-((E)-2-(1-(2-pyrimidyl)pyridin-4-yl)vinyl)-2,2'-bis(dimethylamino)-1,1'-binaphthalenyl Iodide, [7]I₂

To a solution of **4**•1/8CHCl₃ (40 mg, 0.071 mmol) in 2-butanone (12 mL) was added 2-chloropyrimidine (38 mg, 0.332 mmol). The reaction was heated under reflux in the dark for 17 h, after which time the solution was colourless with a dark purple precipitate. After cooling to room temperature, the solid was filtered off, washed with 2-butanone then diethyl ether and dried to give the chloride salt (52 mg). This solid was dissolved in a minimum of methanol and aqueous [NBuⁿ]₄I was added. Diethyl ether was then added and the purple precipitate was filtered off, washed with diethyl ether and dried. Yield: 52 mg (72%). δ_H (CD₃OD) 9.89 (d, 4H, J = 7.3 Hz, C₅H₄N), 9.11 (d, 4H, J = 4.8 Hz, C₄N₂H₃), 8.35–8.26 (8H, CH, C₅H₄N and C₁₀H₅), 8.06 (d, 2H, J = 9.8 Hz, C₁₀H₅), 7.81 (t, 2H, J = 4.8 Hz, C₄N₂H₃), 7.73 (d, 2H, J = 10.8 Hz, C₁₀H₅), 7.60–7.56 (4H, CH and C₁₀H₅), 7.21 (d, 2H, J = 8.8 Hz, C₁₀H₅), 2.61 (s, 12H, Me). m/z = 353 ([M – 2I]²⁺). Anal. Calcd (%) for $C_{46}H_{40}I_2N_8 \cdot 3H_2O$: C, 54.56; H, 4.58; N, 11.06. Found: C, 54.83; H, 4.49; N, 10.57.

2.4. X-ray crystallographic studies

The data were collected by using a Bruker APEX CCD X-ray diffractometer using graphite-monochromated, MoK α radiation (wavelength = 0.71073 Å). Data processing was carried out by using the Bruker SAINT [12] software package and a semi-empirical absorption correction was applied by using SADABS [12]. The structures were solved by direct methods and refined by full-matrix least-squares on all F_o^2 data using SHELXS 97 [13] and SHELXL 97 [14]. All non-hydrogen atoms were refined anisotropically, with hydrogen atoms bonded to carbon or nitrogen included in calculated positions using the riding method. All other calculations were carried out by using the SHELXTL package [15]. Crystallographic data and refinement details are presented in Table 1. The CCDC depositions 761 448 and 761 449 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12, Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

2.5. Hyper-Rayleigh scattering

The apparatus and experimental procedures used for the fs HRS studies were exactly as described previously [16]. All measurements were carried out in acetonitrile and the reference compounds were Disperse Red 1 (β_{1300} = 86×10^{-30} esu in acetonitrile; from the value

Table 1
Crystallographic data and refinement details for compounds **2** and **3**.

	2	3
Empirical formula	C ₂₄ H ₂₂ Br ₂ N ₂	C ₂₆ H ₂₄ N ₂ O ₂
fw	498.26	396.47
Appearance	white plate	yellow needle
Cryst size/mm	0.20 × 0.15 × 0.10	0.50 × 0.15 × 0.15
Cryst syst	orthorhombic	orthorhombic
Space group	P2 ₁ 2 ₁ 2 ₁	P2 ₁ 2 ₁ 2 ₁
a/Å	7.2839(9)	8.7933(7)
b/Å	8.7125(10)	14.9796(12)
c/Å	32.703(4)	15.7605(13)
U/Å ³	2075.4(4)	2076.0(3)
Z	4	4
T/K	100(2)	100(2)
μ /mm ^{−1}	3.918	0.081
Reflns collected	16675	16657
Independent reflns (R_{int})	4262(0.0515)	2420(0.0690)
GOF on F^2	1.118	1.004
Final R1, wR2 [$I > 2\sigma(I)$] ^a	0.0437, 0.0773	0.0384, 0.0762
Final R1, wR2 (all data)	0.0516, 0.0813	0.0495, 0.0792

^a The structures were refined on F_o^2 using all data; the value of R_1 is given for comparison with older refinements based on F_o with a typical threshold of $F_o > 4\sigma(F_o)$.

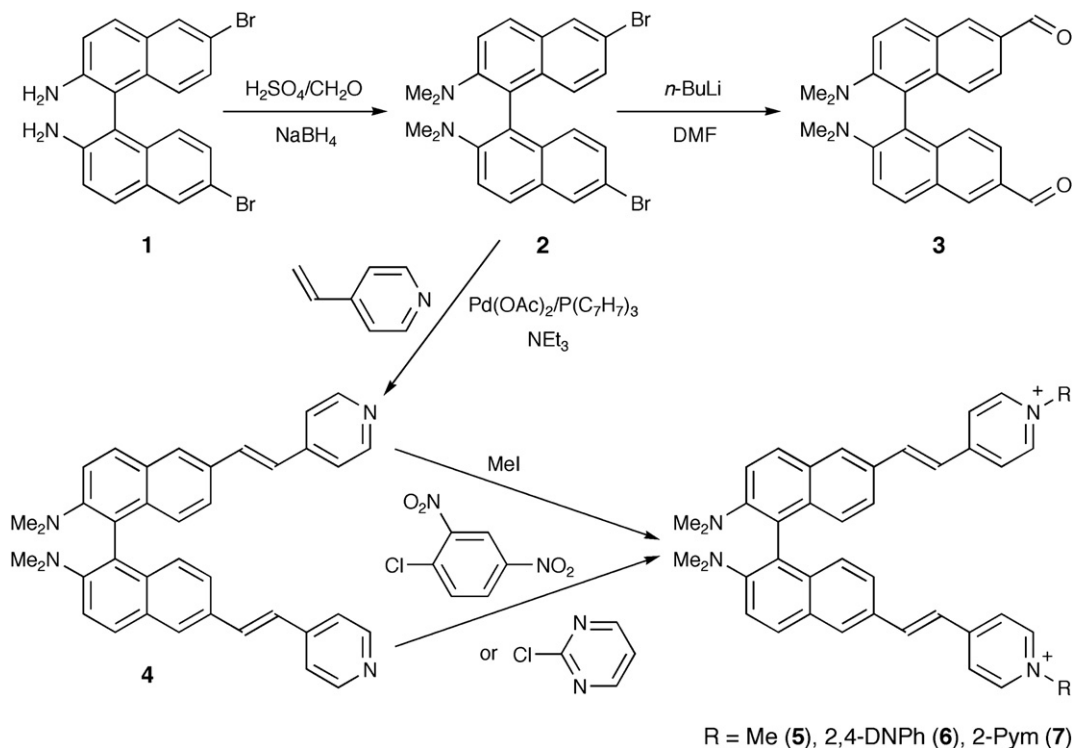
of 54×10^{-30} esu in chloroform, corrected for local field factors at optical frequencies) or crystal violet (β_{800} = 327×10^{-30} esu in acetonitrile; from the value of 338×10^{-30} esu in methanol, corrected for local field factors at optical frequencies). Dilute solutions (10^{-5} to 10^{-6} M) were used to ensure a linear dependence of $I_{2\omega}/I_{\omega}^2$ on concentration, precluding the need for Lambert–Beer correction factors. The absence of demodulation at both 800 and 1300 nm, i.e. constant values of β versus amplitude modulation frequency, showed that no fluorescence contributions to the HRS signals were present at these measurement wavelengths. This situation may indicate: (i) a lack of fluorescence, (ii) spectral filtering out of fluorescence, or (iii) the fluorescence lifetime is too short for its demodulation to be observed within the bandwidth of the instrument. The reported β values are the averages taken from measurements at different amplitude modulation frequencies.

3. Results and discussion

3.1. Synthesis and characterisation

The reaction chemistry used to prepare the new compounds is depicted in Scheme 1. The known compound **1**, derived from bromination of commercial (R)-1,1'-binaphthalenyl-2,2'-diamine [8], is methylated using slight modification of a published procedure [17] to give **2**. The isolated yield of 51% is similar to that reported for a related preparation of the corresponding tetra(*n*-butyl) compound [8], although literature reports of similar reactions with (R)-1,1'-binaphthalenyl-2,2'-diamine document yields of ca. 85–88% [17c,d,18]. The bromine atoms in **2** are replaced with aldehyde groups by using lithiation followed by a DMF quench to give **3** in 55% isolated yield after column chromatography on silica gel. The same approach was used previously by Koeckelberghs et al. to prepare the 2,2'-di(*n*-hexyloxy) analogue of **3** in a slightly higher yield [6k], although the latter was isolated as an oil while **3** is a yellow crystalline solid.

Our original intention was to use **3** to synthesise cations **5**–**7** via Knoevenagel-type condensation reactions, as used previously to prepare related pseudo-linear chromophores [9a,c]. A reaction was performed between **3** and *N*-methyl-4-picolinium iodide in methanol with piperidine as a base; heating under reflux for 4 h gave a diagnostic colour change from pale yellow to deep red. The crude iodide salt was obtained and metathesised to its



Scheme 1. Strategy for the syntheses of compounds 2–4 and cations 5–7.

hexafluorophosphate counterpart, $[5]\text{PF}_6$. However, the ^1H NMR spectrum recorded in CD_3COCD_3 showed the presence of the desired chromophore, but with several impurity peaks at a ca. 20% level. These unwanted signals are similar to those of the product, but shifted upfield. The presence of a doublet at 6.90 ppm with a J value of 8.9 Hz is indicative of the formation of (*Z*)-isomer(s) as well as the desired (*E,E*)-product. It is noteworthy that the spectrum that Yan et al. obtained for the related cation **8** (Fig. 1) also shows minor signals that are attributable to the (*Z*)-isomer(s) [8].

Since we were unable to separate the isomers of **5** via recrystallisation or chromatography, an alternative synthetic route was adopted using a Heck cross-coupling reaction, as used by Yan et al. to produce the tetra(*n*-butyl) analogue of **4** [8]. **4** was thus isolated in 50% yield after column chromatography on silica gel as only its (*E,E*)-isomer. Treatment of **4** with methyl iodide then afforded $[5]\text{I}_2$ in 79% yield, with the ^1H NMR spectrum (Fig. 2) showing no trace of the (*Z*)-isomer(s).

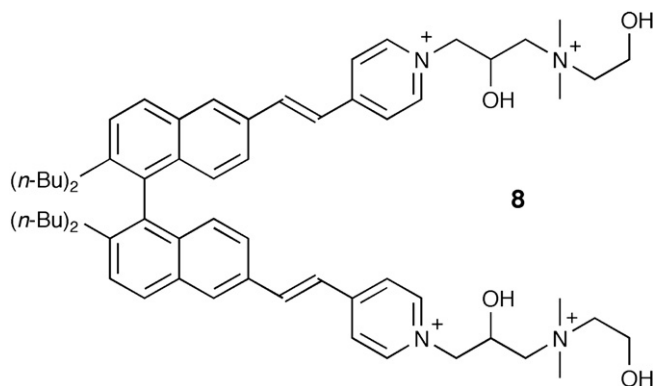


Fig. 1. Structure of a dicationic 1,1'-binaphthalenyl chromophore reported by Yan et al. previously [8].

$[5]\text{I}_2$ was dissolved in methanol and metathesised to its hexafluorophosphate salt, as solubility in acetone or acetonitrile is generally desirable for NLO measurements. The ^1H NMR spectrum of $[5][\text{PF}_6]_2$ recorded in CD_3COCD_3 again showed the presence of the (*Z*)-isomer(s). Although facile photo-isomerisation of related compounds is well preceded [19], NMR samples of $[5]\text{I}_2$ in CD_3OD show no evidence for isomerisation after exposure to room lighting for periods of up to 72 h. In addition, metathesis to $[5][\text{PF}_6]_2$ leads to isomerisation even when the sample is kept in the dark, but the minor signals do not gain in intensity following exposure to light in CD_3COCD_3 . These observations indicate that the isomerisation of **5** is not photo-activated, but occurs thermally and is strongly influenced by changes in the solvent medium.

The 2,4-dinitrophenyl (2,4-DNPh) and 2-pyrimidyl (2-Pym)-functionalised cations **6** and **7** were also synthesised from **4** via straightforward $\text{S}_\text{N}\text{Ar}$ reactions, giving 72% isolated yields of the salts $[6]\text{Cl}_2$ and $[7]\text{I}_2$. The ^1H NMR spectra of the products in CD_3OD display dominant signals for the desired chromophores, but small peaks reveal the presence of the (*Z*)-isomers at levels of ca. 5–10%, especially doublets at 6.93 ppm ($J = 9.1$ Hz) for $[6]\text{Cl}_2$ and 7.07 ppm ($J = 9.6$ Hz) for $[7]\text{I}_2$. These spectra remained unchanged after exposure to room lighting for up to 72 h. The CHN elemental analyses for these samples fit satisfactorily, consistent with the likelihood that

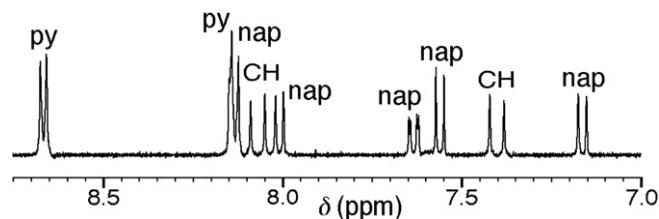


Fig. 2. Aromatic region of the ^1H NMR spectrum of $[5]\text{I}_2$ recorded in CD_3OD at 400 MHz (py = pyridyl; nap = naphthalenyl).

the impurities are isomers. It is probable that the synthetic approaches used give rise to greater degrees of isomerisation for **6** and **7** when compared with **5** because the former are prepared under more forcing conditions (reflux at 80 °C in 2-butanone for 24 or 17 h, as opposed to reflux at 56 °C in acetone for 4 h). Attempts to prepare **6** and **7** in acetone were unsuccessful and it thus appears that some degree of (*E*) → (*Z*) isomerism is unavoidable for these chromophores. However, because the (*Z*)-isomers are present in only small proportions, the optical measurements were nevertheless performed on these samples (see below), on the assumption that the low levels of (*Z*)-isomers present will not affect the results appreciably.

3.2. Electronic absorption spectroscopy

The UV–vis absorption spectra of salts **[5]I₂**, **[6]Cl₂** and **[7]I₂** have been measured in methanol and the results are presented in Table 2. These spectra are dominated by intense $\pi \rightarrow \pi^*$ intra-molecular charge-transfer (ICT) bands in the visible region and also one or more intense bands due to $\pi \rightarrow \pi^*$ transitions having less directionality at higher energies. Representative spectra are shown in Fig. 3.

Moving along the series **5–7**, the energy of the ICT band decreases steadily in the order $R = \text{Me} > 2,4\text{-DNPh} > 2\text{-Pym}$, with a total red-shift of 0.38 eV between the extremes. This pattern is attributable to the increasing electron-withdrawing strength of the pyridinium group, as observed previously with related 1D dipolar chromophores [9]. The lowest energy UV band shows the same red-shifting trend, although the total decrease in E_{max} (0.28 eV) is smaller than that observed for the ICT absorptions. While the intensity of the ICT band is essentially independent of *R*, that of the first UV absorption increases substantially in the order $R = \text{Me} < 2\text{-Pym} < 2,4\text{-DNPh}$, with a ca. 50% increase in molar extinction coefficient on moving from **[5]I₂** to **[6]Cl₂**.

3.3. X-ray crystallography

Single crystal X-ray structures of the compounds **2** and **3** have been obtained and representations of their molecular structures can be seen in Figs. 4 and 5. Selected crystallographic and refinement details are shown in Table 1 and selected bond lengths in Table 3. Both compounds adopt the noncentrosymmetric space group $P2_12_12_1$, a logical consequence of their resolved chiral nature. The respective dihedral angles between the two naphthalenyl rings in **2** and **3** are 75.03° and 70.67°, as expected due to pronounced steric interactions, especially between the $-\text{NMe}_2$ substituents. The bond lengths within the naphthalenyl rings show the expected variations based upon the contributions of three resonance forms making distances 2, 6, 8 and 10 shorter than the other six C–C distances by as much as ca. 0.08 Å. Similar effects are also observed

Table 2
UV–vis absorption data for **[5]I₂**, **[6]Cl₂** and **[7]I₂** in methanol at 293 K.

Salt	λ_{max} , nm (ϵ , $10^3 \text{ M}^{-1} \text{ cm}^{-1}$) ^a	E_{max} , eV	Assignment
[5]I₂	460 (41.8)	2.70	ICT
	331 (29.6)	3.75	$\pi \rightarrow \pi^*$
	257 (34.1)	4.82	$\pi \rightarrow \pi^*$
[6]Cl₂	519 (41.7)	2.39	ICT
	352 (44.8)	3.52	$\pi \rightarrow \pi^*$
[7]I₂	534 (42.6)	2.32	ICT
	357 (39.7)	3.47	$\pi \rightarrow \pi^*$
	261 (57.0)	4.75	$\pi \rightarrow \pi^*$

^a Solutions ca. 10^{-5} M .

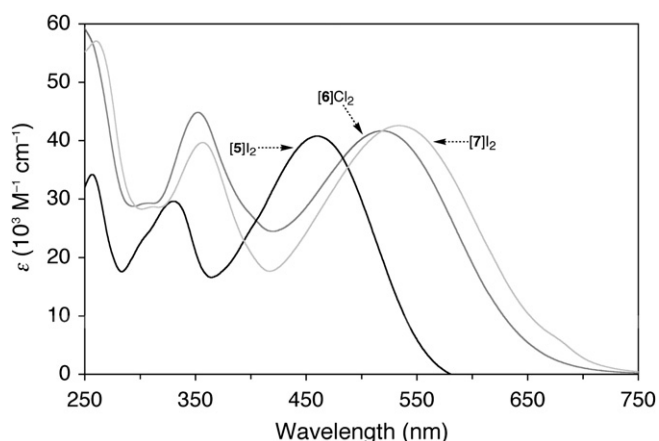


Fig. 3. UV–vis absorption spectra of **[5]I₂** (black), **[6]Cl₂** (grey) and **[7]I₂** (light grey) at 293 K in acetonitrile.

in the known compounds (*R*)-2,2′-diamino-1,1′-binaphthalenyl [20] and (*R*)-2,2′-bis(dimethylamino)-1,1′-binaphthalenyl [21]. All of these bond lengths are invariant between **2** and **3**, showing that the electronic effects of the bromo and formyl substituents are indistinguishable. The N–Me distances also show some small but significant variations, being on average shorter in **2** when compared with **3** (1.422 vs. 1.448 Å).

3.4. Hyper-Rayleigh scattering

The HRS technique has been used to determine β values for the new chromophores **5–7** using both 800 and 1300 nm laser fundamentals and the results of these measurements are presented in Table 4. Because these compounds absorb much more strongly at the second harmonic wavelength 400 when compared with 650 nm, the β_{HRS} values measured using the 800 nm laser are enhanced by resonance to a greater extent.

For 1D dipolar molecules, the hyperpolarizability has a single dominant tensor component along the charge-transfer (*z*) axis, β_{zzz} . For a 2D dipolar molecule with two planar subunits, *z* corresponds with the two-fold axis and there are two independent tensor components, β_{zzz} and β_{zxx} , which can be expressed as a function of

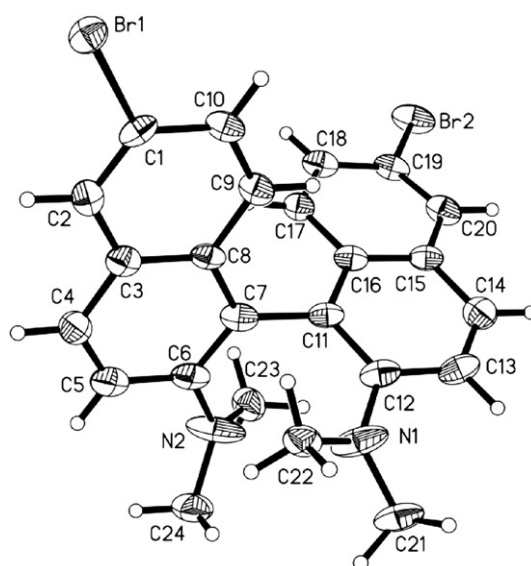


Fig. 4. Representation of the molecular structure of **2** (50% probability ellipsoids).

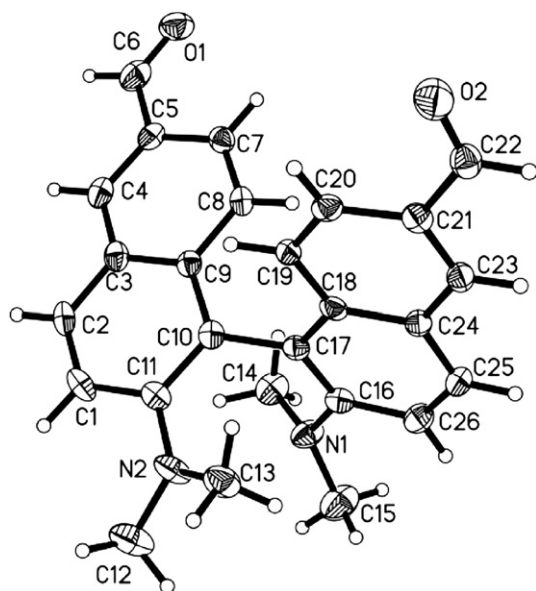


Fig. 5. Representation of the molecular structure of **3** (50% probability ellipsoids).

the dihedral angle θ between the subunits, according to equations (1) and (2). We have thus derived values of β_{zzz} and β_{zxx} for **5–7**, using a rounded average of the dihedral angles observed crystallographically for **2** and **3** (see above), assuming that the dihedral angles for **5–7** in solution will be similar. The results are also shown in Table 4. Previous studies have shown that 2D dipolar chromophores are of special interest for potential NLO applications because they can avoid reabsorption of second harmonic light and also achieve phase-matching of second harmonic generation due to polarization effects [22].

Moving along the series **5–7**, the β_{HRS} values measured at 800 and 1300 nm increase steadily as the electron acceptor strength increases, and the same is true of the tensor components β_{zzz} and β_{zxx} . This trend is consistent with the visible spectroscopic data (see above) in the context of the standard two-state model which states that the β response generally increases as the associated ICT energy decreases

Table 3
Selected interatomic distances (Å) for compounds **2** and **3**.

	2	3
N–C(Me)	1.422(6)	1.439(6)
N–C(Me)	1.398(6)	1.429(6)
N–C(nap)	1.402(6)	1.402(6)
C–C(1)	1.422(7)	1.415(7)
C–C(2)	1.354(7)	1.358(7)
C–C(3)	1.421(7)	1.423(7)
C–C(4)	1.424(6)	1.406(6)
C–C(5)	1.430(6)	1.435(6)
C–C(6)	1.383(7)	1.400(6)
C–C(7)	1.405(6)	1.415(6)
C–C(8)	1.361(6)	1.354(7)
C–C(9)	1.401(6)	1.397(6)
C–C(10)	1.363(6)	1.372(6)
C–C(11)	1.421(6)	1.419(6)
C–X(X=Br/CHO)	1.909(4)	1.902(4)
C–O	–	–

Table 4

HRS data for **[5]I₂**, **[6]Cl₂** and **[7]I₂** in methanol at 293 K.

Salt	800 nm (10^{-30} esu)			1300 nm (10^{-30} esu)		
	β_{HRS}^a	β_{zzz}^b	β_{zxx}^c	β_{HRS}^d	β_{zzz}^e	β_{zxx}^f
[5]I₂	117 ± 7	194	106	34 ± 3	56	31
[6]Cl₂	126 ± 5	209	114	83 ± 10	138	76
[7]I₂	155 ± 9	257	141	91 ± 12	151	83

^a Dynamic first hyperpolarizability measured using a 800 nm laser.

^b Derived from 800 nm β_{HRS} value by using equation (1).

^c Derived from 800 nm β_{zzz} value by using equation (2) with $\theta = 73^\circ$.

^d Dynamic first hyperpolarizability measured using a 1300 nm laser.

^e Derived from 1300 nm β_{HRS} value by using equation (1).

^f Derived from 1300 nm β_{zzz} value by using equation (2) with $\theta = 73^\circ$. The esu units can be converted to SI units ($\text{C}^3 \text{m}^3 \text{J}^{-2}$) by dividing by a factor of 2.693×10^{-20} .

[23]. As defined by equation (2), for all of the measurements, the β_{zxx} values are ca. 0.55 times the β_{zzz} components. Hence for these molecules the largest proportion of the total hyperpolarizability lies along the charge-transfer axis, but the “off-diagonal” β_{zxx} tensor components are still substantial and larger when compared to those determined by HRS for other 2D dipoles in the literature. A series of ruthenium(II) tetraammine complexes with pyridinium electron acceptor groups shows β_{zyy} values in the range $50\text{--}60 \times 10^{-30}$ esu, measured using a 800 nm laser [24]. Related 1,1'-binaphthalenyl chromophores show β_{zxx} values in the range $3\text{--}10 \times 10^{-30}$ esu [6c], and the 2D 3,6-dinitro-9-ethylcarbazole [25] has $\beta_{zxx} \approx 19 \times 10^{-30}$ esu, all measured using a 1064 nm laser. Given the relative magnitudes and significant 2D nature of their β responses, **5–7** are promising candidates for bulk NLO studies if they can be incorporated into suitable polar structures.

$$\langle \beta_{\text{HRS}}^2 \rangle = \left(\frac{6}{35} + \frac{16}{105} \left(\tan \frac{\theta}{2} \right)^2 + \frac{38}{105} \left(\tan \frac{\theta}{2} \right)^4 \right) \beta_{zzz}^2 \quad (1)$$

$$\beta_{zxx} = \left(\tan \frac{\theta}{2} \right)^2 \beta_{zzz} \quad (2)$$

4. Conclusions

We have synthesised and characterised three new 2D dipolar cations as their resolved *R* enantiomers. The intense, visible ICT bands of these chromophores red-shift as the electron acceptor strength increases, as observed previously with related 1D species. Femto-second HRS measurements with 1300 and 800 nm lasers afford β values in the range $34\text{--}155 \times 10^{-30}$ esu that show the expected inverse relationship with respect to the ICT energies. Single crystal X-ray structures for the precursor compounds **2** and **3** show an average dihedral angle θ between the naphthalenyl units of 73° . Assuming a similar θ value for the chromophores **5–7** in solution allows the determination of two independent tensor components, β_{zzz} and β_{zxx} . In all cases, β_{zzz} is dominant, but the “off-diagonal” β_{zxx} values are nevertheless substantial ($31\text{--}141 \times 10^{-30}$ esu) and larger than those determined by HRS for other 2D dipoles previously. Crystallisation of cations **5–7** with appropriate anions will afford noncentrosymmetric structures that may show large bulk NLO effects if the dipoles align favourably.

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